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CPA 1763

PTO/SB/29 (10-00)

Approved for use through 10/31/2002. OMB 0651-0032

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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CONTINUED PROSECUTION APPLICATION (CPA) REQUEST TRANSMITTAL

Submit an original, and a duplicate for fee processing.

(Only for Continuation or Divisional applications under 37 CFR 1.53(d))

☐ CHECK BOX, if applicable:
DUPLICATE

ADDRESS TO: Assistant Commissioner for Patents Box CPA Washington, DC 20231	Attorney Docket No. Of Prior Application	503.37698X00
	First Named Inventor	K. TAKAHASHI et al.
	Examiner Name	Rudy Zervigon
	Group Art Unit	3400
	Express Mail Label No.	

#14
1/10/02
MW

This is a request for a ☒ continuation or ☐ divisional application under 37 CFR 1.53(d), (continued prosecution application (CPA)) of prior application number **09/414,520** filed on **10/8/1999**, entitled **A PLASMA PROCESSING APPARATUS AND A PLASMA PROCESSING METHOD**.

NOTES

FILING QUALIFICATIONS: The prior application identified above must be a nonprovisional application that is either: (1) complete as defined by 37 CFR 1.51(b), or (2) the national stage of an international application in compliance with 35 U.S.C. 371. Effective May 29, 2000, a CPA may only be filed in a utility or a plant application if the prior nonprovisional application was filed before May 29, 2000. A CPA may be filed in a design application regardless of the filing date of the prior application. See "Request for Continued Examination Practice changes to and Provisional Application Practice," Final Rule, 65 Fed. Reg. 50092 (Aug. 16, 2000); Interim Rule, 65 Fed. Reg. 14865 (March 20, 2000), 1233 Off. Gaz. Pat. Office (April 11, 2000).

C-I-P NOT PERMITTED: A continuation-in-part application cannot be filed as a CPA under 37 CFR 1.53(d), but must be filed under 37 CFR 1.53(b).

EXPRESS ABANDONMENT OF PRIOR APPLICATION: The filing of the CPA is a request to expressly abandon the prior application as of the filing date of the request for a CPA. 37 CFR 1.53(b) must be used to file a continuation, divisional, or continuation-in-part of an application that is not to be abandoned.

ACCESS TO PRIOR APPLICATION: The filing of this CPA will be construed to include a waiver of confidentiality by the applicant under 35 U.S.C. 122 to the extent that any member of the public who is entitled under the provisions of 37 CFR 1.14 to access to, copies of, or information concerning, the prior application may be given similar access to, copies of, or similar information concerning, the other application or applications in the file jacket.

35 U.S.C. 120 STATEMENT: In a CPA, no reference to the prior application is needed in the first sentence of the specification and none should be submitted. If a sentence referencing the prior application is submitted, it will not be entered. A request for a CPA is the specific reference required by 35 U.S.C. 120 and to every application assigned the application number identified in such request, 37 CFR 1.78(a).

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

- ☒ Enter the unentered amendment previously filed on **December 3, 2001** under 37 CFR 1.116 in the prior nonprovisional application.
- ☐ A preliminary amendment is enclosed.
- This application is filed by fewer than all the inventors named in the prior application, 37 CFR 1.53(d)(4).
 - ☐ **DELETE** the following inventor(s) named in the prior nonprovisional application:

 - ☐ The inventor(s) to be deleted are set forth on a separate sheet attached hereto.
- ☐ A new power of attorney or authorization of agent (PTO/SB/81) is enclosed.
- Information Disclosure Statement (IDS) is enclosed:
 - ☐ PTO-1449
 - ☐ Copies of IDS Citations

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take .4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box CPA, Washington, DC 20231.

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CLAIMS	(1) FOR	(2) NUMBER FILED	(3) NUMBER EXTRA	(4) RATE	(5) CALCULATIONS
	TOTAL CLAIMS (37 CFR 1.16(b) or (j))	10-20* =	0	X \$ =	\$0.00
	INDEPENDENT CLAIMS (37 CFR 1.16(b) or (i))	2-3** =	0	=	0.00
	MULTIPLE DEPENDENT CLAIMS (IF APPLICABLE) (37 CFR 1.16)			=	
				BASIC FEE (37 CFR 1.16)	740.00
	Total of above Calculations =				740.00
	Reduction by 50% for filing by small entity (Note 37 CFR 1.27).				
	* Reissue claims in excess of 20 and over original patent. ** Reissue independent claims over original patent. TOTAL =				

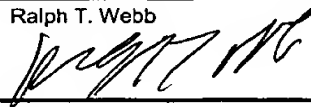
6. ☐ Small entity status: Applicant claims small entity status. See 37 CFR 1.27.
7. The Commissioner is hereby authorized to credit overpayments or charge the following fees to Deposit Account No. 01-2135.
- a. ☐ Fees required under 37 CFR 1.16.
- b. ☐ Fees required under 37 CFR 1.17.
- c. ☐ Fees required under 37 CFR 1.18.
8. ☒ A check in the amount of \$ 740.00 is enclosed.
9. ☒ Payment by credit card. Form PTO-2038 is attached.
10. ☐ Applicant requests suspension of action under 37 CFR 1.103(b) for a period of _____ months (not to exceed 3 months) and the fee under 37 CFR 1.17(i) is enclosed.
11. ☒ New Attorney Docket Number, if desired **520.37698CX1**.
(Prior application Attorney Docket Number will carry over to this CPA unless a new Attorney Docket Number has been provided herein.)
12. a. ☐ Receipt For Facsimile Transmitted CPA (PTO/SB/29A)
- b. ☒ Return Receipt Postcard (Should be specifically itemized, See MPEP 503)
13. ☐ Other: _____

NOTE: The prior application's correspondence address will carry over to this CPA
UNLESS a new correspondence address is provided below.

14. NEW CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label	020457 (Insert Customer No. or Attach bar code label here)		or <input type="checkbox"/> Correspondence address below	
Name	ANTONELLI, TERRY, STOUT & KRAUS, LLP			
Address	1300 NORTH SEVENTEENTH STREET			
City		State		Zip Code
Country		Telephone	(703) 312-6600	Fax (703) 312-6666

15. SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT REQUIRED

Name	Ralph T. Webb
Signature	
Registration No. (Attorney/Agent)	33,047
Date	December 28, 2001

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO FEE TRANSMITTAL for FY 2002				Complete if Known																																																																																																																																																																																																																																																																																																																																											
<div style="border: 1px solid black; border-radius: 50%; padding: 10px; display: inline-block;"> DEC 28 2001 U.S. PATENT & TRADEMARK OFFICE </div> <p><i>Patent fees are subject to annual revision.</i></p>				Application Number		09/414,520 CPA																																																																																																																																																																																																																																																																																																																																									
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BASIC FILING FEE </td> <td colspan="6"> 2. PAYMENT ENCLOSED: <input type="checkbox"/> Check <input checked="" type="checkbox"/> Credit Card <input type="checkbox"/> Money Order <input type="checkbox"/> Other </td> </tr> <tr> <td colspan="4"> <table border="1" style="width: 100%; border-collapse: collapse; font-size: 0.8em;"> <thead> <tr> <th>Large Fee Code</th> <th>Large Fee (\$)</th> <th>Small Entity Fee Code</th> <th>Small Entity Fee (\$)</th> <th>Fee Description</th> <th>Fee Paid</th> </tr> </thead> <tbody> <tr> <td>101</td> <td>740</td> <td>201</td> <td>370</td> <td>Utility filing fee</td> <td>740.00</td> </tr> <tr> <td>106</td> <td>330</td> <td>206</td> <td>165</td> <td>Design filing fee</td> <td></td> </tr> <tr> <td>107</td> <td>510</td> <td>207</td> <td>255</td> <td>Plant filing fee</td> <td></td> </tr> <tr> <td>108</td> <td>740</td> <td>208</td> <td>370</td> <td>Reissue filing fee</td> <td></td> </tr> <tr> <td>114</td> <td>160</td> <td>214</td> <td>80</td> <td>Provisional filing fee</td> <td></td> </tr> <tr> <td colspan="5" style="text-align: right;">SubTOTAL (1)</td> <td>740.00</td> </tr> </tbody> </table> </td> <td colspan="6"> 1. 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147	2,520	147	2,520	For filing a request for <i>ex parte</i> reexamination																																																																																																																																																																																																																																																																																																																																											
112	920*	112	920*	Requesting publication of SIR prior to Examination action																																																																																																																																																																																																																																																																																																																																											
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action																																																																																																																																																																																																																																																																																																																																											
115	110	215	55	Extension for reply within first month																																																																																																																																																																																																																																																																																																																																											
116	400	216	200	Extension for reply within second month																																																																																																																																																																																																																																																																																																																																											
117	920	217	460	Extension for reply within third month																																																																																																																																																																																																																																																																																																																																											
118	1,440	218	720	Extension for reply within fourth month																																																																																																																																																																																																																																																																																																																																											
128	1,960	228	980	Extension for reply within fifth month																																																																																																																																																																																																																																																																																																																																											
119	320	219	160	Notice of Appeal																																																																																																																																																																																																																																																																																																																																											
120	320	220	160	Filing a brief in support of an appeal																																																																																																																																																																																																																																																																																																																																											
121	280	221	140	Request for oral hearing																																																																																																																																																																																																																																																																																																																																											
138	1,510	138	1,510	Petition to institute a public use proceeding																																																																																																																																																																																																																																																																																																																																											
140	110	240	55	Petition to revive - unavoidable																																																																																																																																																																																																																																																																																																																																											
141	1,280	241	640	Petition to revive - unintentional																																																																																																																																																																																																																																																																																																																																											
142	1,280	242	640	Utility issue fee (or reissue)																																																																																																																																																																																																																																																																																																																																											
143	460	243	230	Design issue fee																																																																																																																																																																																																																																																																																																																																											
144	620	244	310	Plant issue fee																																																																																																																																																																																																																																																																																																																																											
122	130	122	130	Petitions to the Commissioner																																																																																																																																																																																																																																																																																																																																											
123	50	123	50	Processing fee under 37 CFR 1.17(q)																																																																																																																																																																																																																																																																																																																																											
126	180	126	180	Submission of Information Disclosure Stmt																																																																																																																																																																																																																																																																																																																																											
581	40	581	40	Recording each patent assignment per property (times number of properties)																																																																																																																																																																																																																																																																																																																																											
146	740	246	370	For each additional invention to be examined (37 CFR § 1.129(a))																																																																																																																																																																																																																																																																																																																																											
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))																																																																																																																																																																																																																																																																																																																																											
179	740	279	370	Request for Continued Examination (RCE)																																																																																																																																																																																																																																																																																																																																											
169	900	169	900	Request for expedited examination of a design application																																																																																																																																																																																																																																																																																																																																											
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SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Ralph T. Webb	Registration No. (Attorney/Agent)	33,047	Telephone	703-312-6600
Signature				Date	12/28/2001

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